



CMMI® Appraisal - Registration and Feedback Form Submission Policy

Purpose

This policy addresses CMMI Appraisal System (CAS) Registrations and Appraisal Feedback Submissions for CMMI appraisals.

Scope and Applicability

This policy applies to all users of CAS through which all CMMI appraisals are registered and reported.

Background

CAS was created to support both the delivery of appraisal services by CMMI Lead Appraisers (LAs) and the standardized collection of data resulting from the delivery of appraisal services.

Policy Implementation Detail

Participants, e.g., Appraisal Team Members (ATMs), Appraisal Team Leader (ATL), Appraisal Sponsor, are required to register in the CAS database for each appraisal event. Registration in CAS is intended to:

- Provide registrants with a unique identity
- Provide registrants with a private forum where they can submit confidential appraisal feedback free from reprisal.

Each person must only register once in CAS, but subsequent roles can be added as needed and authorized.

Regardless of role, registrants must create their own unique account containing contact information and email, which must be the registrant's personal or work email address. Email addresses cannot be shared or created to represent organizations or groups.

Responsibility for registration cannot be transferred to another individual. ATMs, appraisal agents, ATLs, and CMMI LAs are not permitted to submit data on behalf of other individuals, regardless of their role on an appraisal; feedback forms must only be completed by the responsible registrant.

Violations of this policy will result in corrective action up to and including revocation of CMMI Partner Agreements and role revocations per the *Corrective Action Policy* (PQ002). Violations of

this policy may also result in published appraisal results being removed from the CMMI *Published Appraisal Results System (PARS)*.

Additional References

- Questions regarding this policy should be submitted via support.isaca.org.
- Published policies are available at cmminstitute.com on the policy page:
<https://cmminstitute.com/partners/policies>

Revision History

Version Number	Date Published	Date Effective	Changes
V2.1	26 October 2021	10 November 2011	Updated to ISACA branding; removed CMMI V1.3 references and terminology; minor grammar and readability edits
V2.0	30 September 2019	10 November 2011	Updated format & structure, terminology
V1.0	10 November 2011	10 November 2011	Initial release